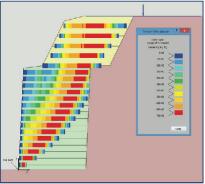


https://www.fhwa.dot.gov/engineering/geotech/pubs/hif17004.pdf







LIMIT EQUILIBRIUM DESIGN FRAMEWORK FOR MSE STRUCTURES WITH EXTENSIBLE REINFORCEMENT



- 1. Provides analytical details
- 2. Verifies using numerical and physical models

Technical Report Documentation Pa					
1. Report No. FHWA-HIF-17-004	2. Government Accession No.	Recipient's Catalog No.			
Title and Subtitle Limit Equilibrium Design Framework for MSE Structures with Extensible Reinforcement		5. Report Date			
		October 2016			
Extensible Reimoreement		Performing Organization Code			
7. Principal Investigator(s): See Acknowledgements for Authors and Contributors		Performing Organization Report No.			
Dov Leshchinsky, Ph.D¹, Ora Leshchinsky, P.E.¹, Brian Zelenko, P.E., John Horne, Ph.D., P.E.					
9. Performing Organization Name and Address		10. Work Unit No. (TRAIS)			
Parsons Brinckerhoff 1015 Half Street, SE, Suite 650 Washington, DC 20003					
		11. Contract or Grant No. DTFH6114D00047-5010			
¹ ADAMA Engineering, Inc., 12042 SE Sunnyside Rd., Suite 711, Clackamas, OR 97015					
12. Sponsoring Agency Name and Address Federal Highway Administration HIBT-20		13. Type of Report and Period			
Office of Bridge Technology 1200 New Jersey Avenue, SE Washington, DC 20005		14. Sponsoring Agency Code			

15. Supplementary Notes

FHWA COR – Silas Nichols, P.E. FHWA Alt. COR – Khalid Mohamed, P.E.

16. Abstract

Current design of reinforced soil structures in the U.S. distinguishes between slopes and walls using the batter angle as a criterion. Using a unified approach in limit state design of reinforced 'walls' and 'slopes' should diminish confusion while enabling a wide and consistent usage in solving geotechnical problems such as complex geometries and soil profiles. Limit equilibrium (LE) analysis has been used successfully in the design of complex and critical (e.g., tall dams) for many decades. Limit state analysis, including LE, assumes that the *design* strength of the soil is mobilized. Presented is a LE framework, limited to extensible reinforcement, which enables the designer to find the tensile force distribution in each layer required at a limit state. This approach is restricted to Allowable Stress Design (ASD). Three example problems are presented.

I	17. Key Words			18. Distribution Statement		
	Mechanically Stabilized Earth Wall Design, MSE Wall Design, Limit Equilibrium, Geotechnical, Extensible reinforcement			No restrictions.		
	19. Security Classif. (of this report)	20. Security Classif. (of th	is	21. No. of Pages	22. Price	
	UNCLASSIFIED	UNCLASSIFIED		120		

Form DOT F 1700.7(8-72)

Reproduction of completed page authorized

- Why Limit State analysis is needed?
- Available Limit State Methods of Analysis
- Limit Equilibrium: Global Approach
- The Safety Map Tool
- Limit Equilibrium: Baseline Solution
- Limit Equilibrium: Design Approach
- Limit Equilibrium: Examples
- Concluding Remarks

Why Limit State Analysis is Needed?

- Collapse is a realistic possibility
- Such limit state is avoided by assigning adequate margins of safety in design
- To quantify margins of safety, one needs to reliably predict limit state conditions
- Theoretically, design considering serviceability alone should eliminate possible limit state
- However, predicting displacements in practice is poor whereas predicting failure is quite reliable
- Practice: Design for limit state using adequate margins of safety implicitly satisfies serviceability

- Why Limit State analysis is needed?
- Available Limit State Methods of Analysis
- Limit Equilibrium: Global Approach
- The Safety Map Tool
- Limit Equilibrium: Baseline Solution
- Limit Equilibrium: Design Approach
- Limit Equilibrium: Examples
- Concluding Remarks

Limit State: Analysis or Design

- Premise of Limit State *Analysis*:
 - Failure is imminent
 - Strength of all elements resisting failure are mobilized simultaneously
- Premise of Limit State *Design*:
 - Developed 'active wedge' is resisted by reinforcement → Select reinforcement with adequate margin of safety against rupture
 - Ensure existence of margin of safety on strength of soil
- ➤ Analysis is the basis for Design → It defines conditions for imminent failure thus it allows meaningful use of prescribed margins of safety on strengths

Synergistic Approach: Layout & Strength

Internal Stability

- Strength, Connection, Pullout
- Analyses Determine Strength and Length

External Stability

- Bearing Capacity, Direct Sliding, Eccentricity (Overturning)
- Analyses Determine Length

Global/Compound

- Slope Stability Analysis
- Analysis Determines Strength and Length
- Do we need such disjointed analyses?

Limit State Analysis: Lateral Earth Pressure - Simplified AASHTO (Internal Stability)

- Semi-empirical, calibrated at working load conditions (i.e., not at limit state)
- Safe, fortunately economical, and easy to use → Credit: Turned an innovative technology into a commodity
- Batter is limited to ≤20° → What about slopes?
- What about complex geometries? Extrapolation to realistic geotechnical conditions (e.g., variable layout of reinforcement, marginal soils)?
- Is it actually adequate for limit state? If not, could it be overly-conservative?

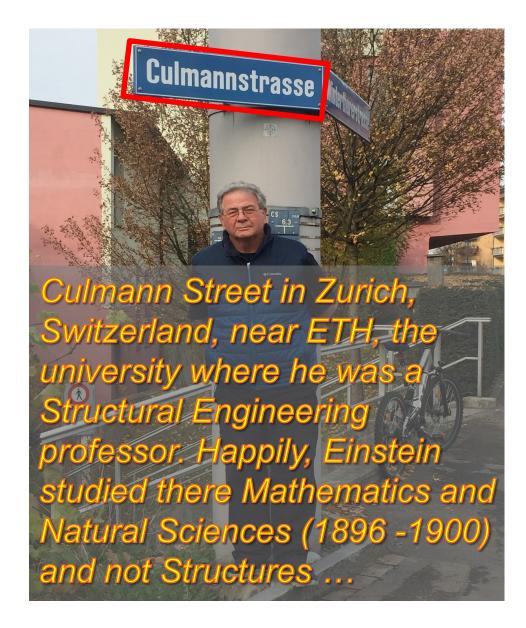
Limit State Analysis: Continuum Mechanics (FE, FD)

- Comprehensive approach
- Valid for walls and slopes
- More complicated than AASHTO → Could be useful in identifying potential failure geometries in complex problems
- Not yet a common design tool in the US
- Impractical tool to generate the instructive Tension Map at limit state (i.e., baseline solution explained later)

Limit State Analysis: Global Limit Equilibrium (LE)

- Simple and yet applicable to complex problems
- No arbitrary distinction between 'wall' and 'slope'
- Global LE design is half-cooked→ Strength is examined globally along a singular slip surface while locally required strength, including connections, is overlooked → That is, it ignores local demand by smearing (shedding) the load amongst all layers
- ∴ Does not deal explicitly with 'Internal Stability' which is concerned with local demand → It provides an important, but narrow, design perspective

LE is Classic...

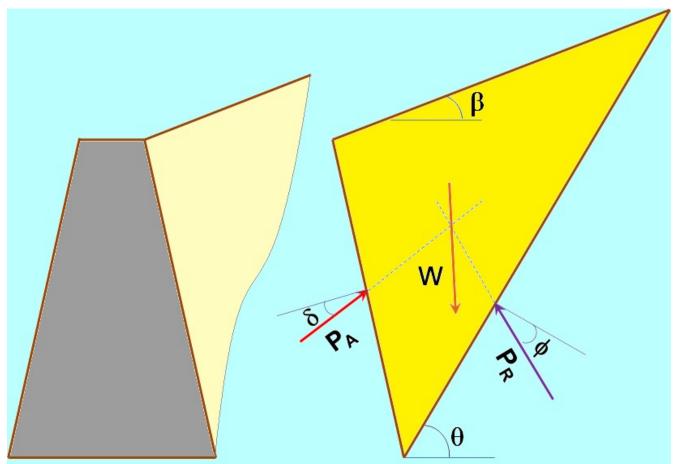


 Coulomb in 1766: Resultant force on a retaining is based on LE approach

 First formulation related to slope stability: Culmann Wedge in 1866

- Why Limit State analysis is needed?
- Available Limit State Methods of Analysis
- Limit Equilibrium: Global Approach
- The Safety Map Tool
- Limit Equilibrium: Baseline Solution
- Limit Equilibrium: Design Approach
- Limit Equilibrium: Examples
- Concluding Remarks

Coulomb (1776) Active Wedge – Gravity Wall: Find $max(P_A)$



Physics is timeless...

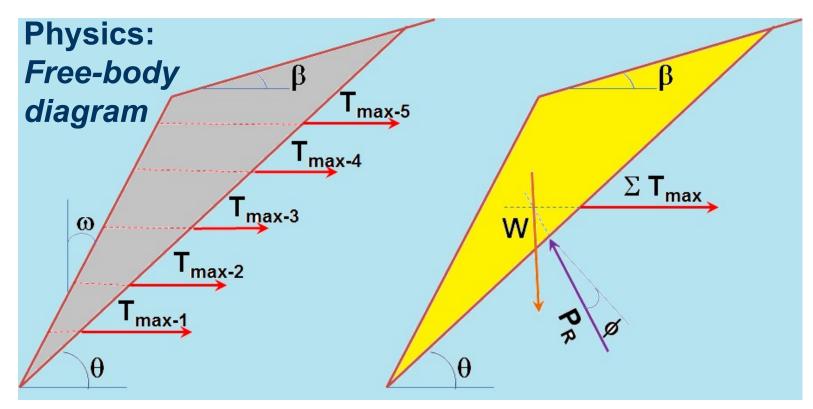
→ Free-body diagram yielding equilibrium

Premise: Small outwards wall movement \rightarrow Active soil wedge forms \rightarrow P_o drops to P_A

Note: Formation of planar surface does not mean wall failure

→ Wall is designed to resist the active wedge

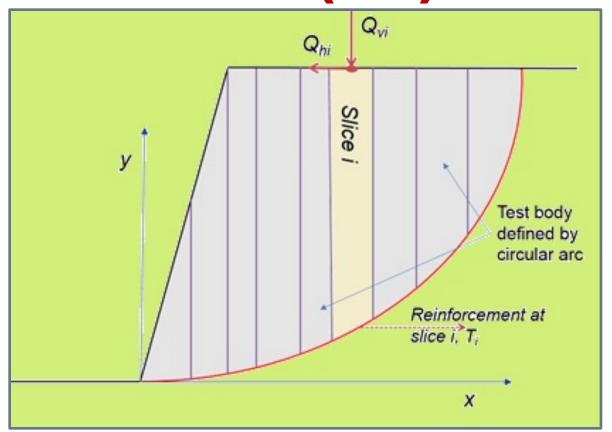
Culmann (1866) Critical Wedge for Reinforced Slope: Find $\max \Sigma(T_{max})$

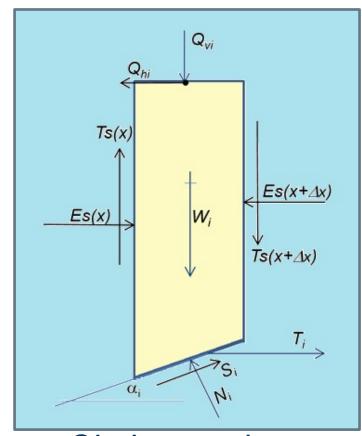


Small stretch of reinforcement \rightarrow Active wedge develops \rightarrow Load in reinforcement drops to T_{max}

Note: Formation of slip surface does not mean structural failure → Reinforcement is designed to resist the active soil wedge

Bishop (1955) Circular Arc: Find min(SF)=Fs





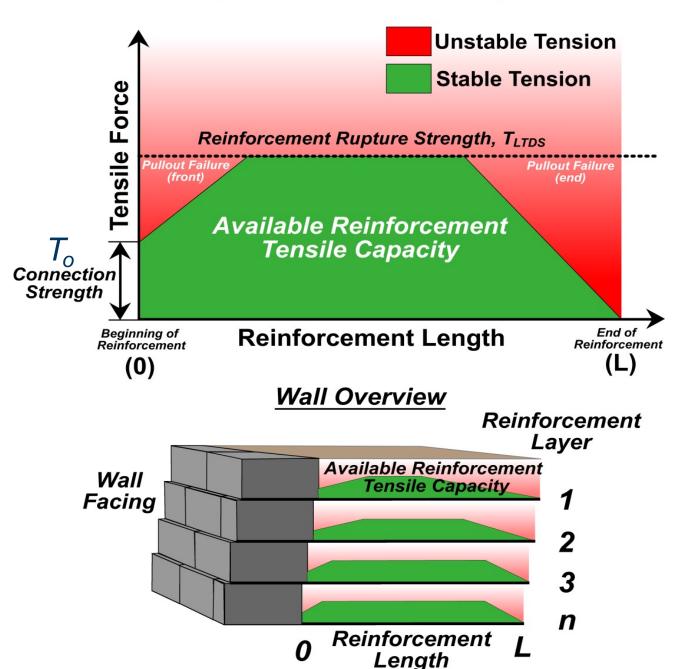
Bishop considers layered soil/complex problems. Circle can degenerate to planar surface (if it is more critical) but **a priori** assumed planar surface cannot degenerate to curved surface > Valid for slopes and walls...

Reinforcement Loading



T_i = Tensile Capacity Along Each Layer of Reinforcement

(Note: Front and rear pullout resistance enables the mobilization of LTDS=T_{LTDS})

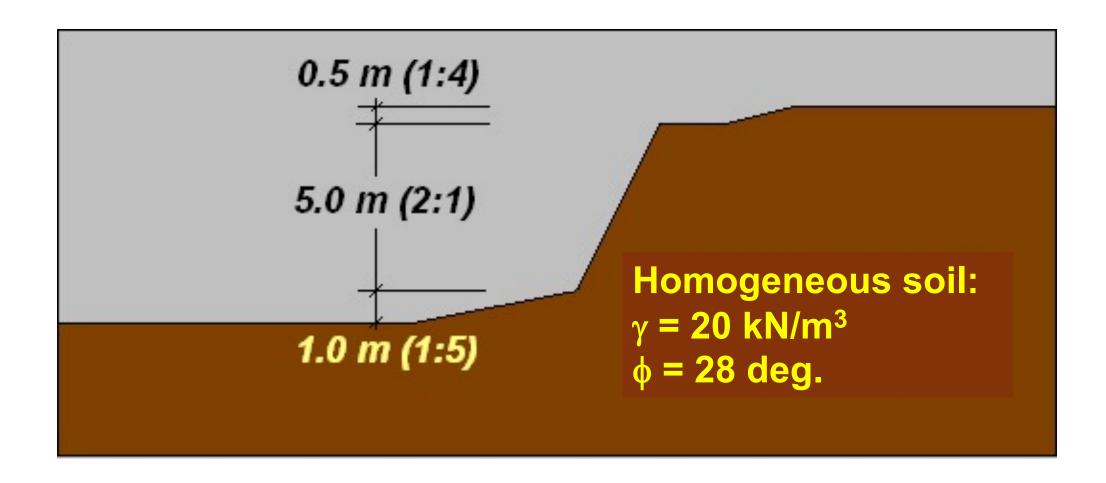


- Why Limit State analysis is needed?
- Available Limit State Methods of Analysis
- Limit Equilibrium: Global Approach
- The Safety Map Tool
- Limit Equilibrium: Baseline Solution
- Limit Equilibrium: Design Approach
- Limit Equilibrium: Examples
- Concluding Remarks

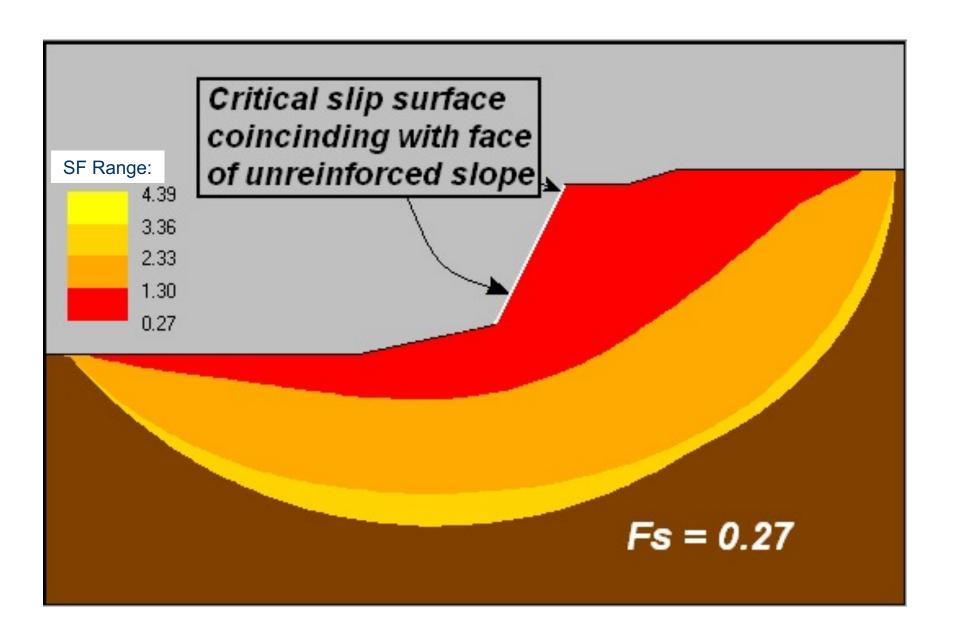
The Safety Map Tool

- Safety Map: Baker and Leshchinsky (2001) introduced the concept, proved its mathematical validity, and coined the term
- Safety Map = Color-coded map showing the spatial distribution of the safety factors, SF, in a slope →
 Visual diagnostic tool for the state of stability of a reinforced mass
- Design Objective: Select strength & layout of reinforcement to produce an efficient structure that is adequately stable

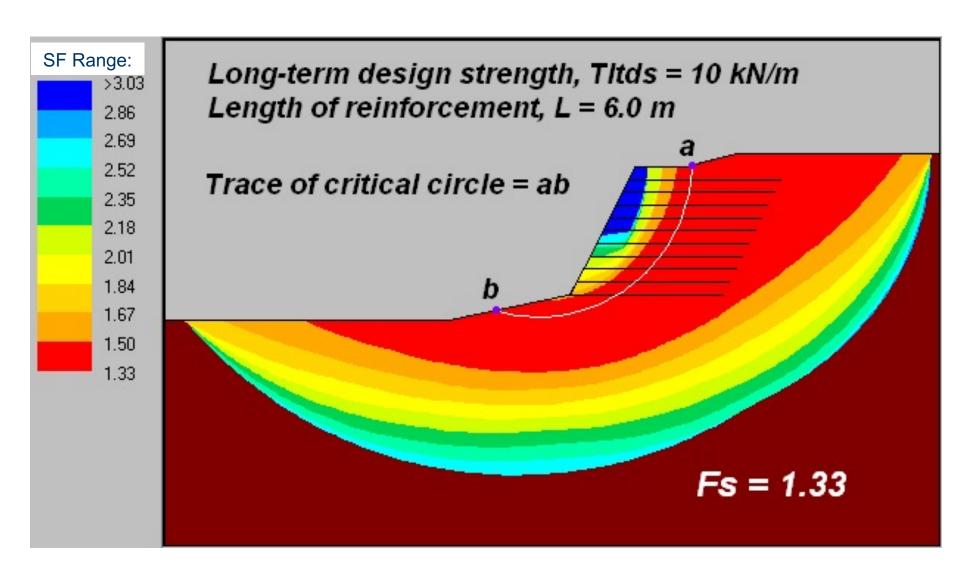
Example Problem



Unreinforced Problem (Bishop)



Adequate Reinforcement Layout using Circular Arc (Bishop)



- Why Limit State analysis is needed?
- Available Limit State Methods of Analysis
- Limit Equilibrium: Global Approach
- The Safety Map Tool
- Limit Equilibrium: Baseline Solution (aka Internal Stability)
- Limit Equilibrium: Design Approach
- Limit Equilibrium: Examples
- Concluding Remarks

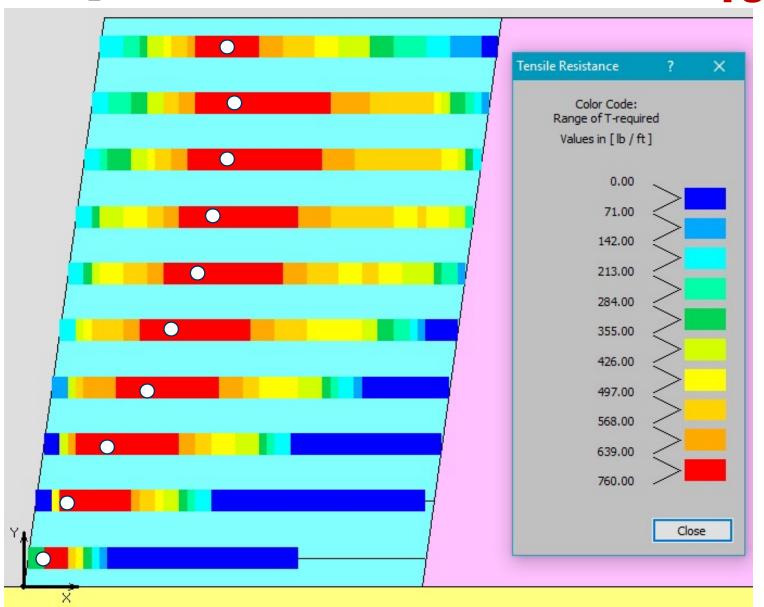
Inverse of Safety Map...

 Safety Map finds the spatial distribution of the safety factors, SF, in a reinforced soil mass

 Conversely, Internal Stability analysis in LE produces the tensile resistance needed for Fs=SF=1.0 everywhere

■ The Internal Stability approach produces the *baseline* solution: Tension Map means $T_{req}(x)$, including T_{max} and T_o for each layer \rightarrow It leads to a rational and robust selection of reinforcement strength and facing

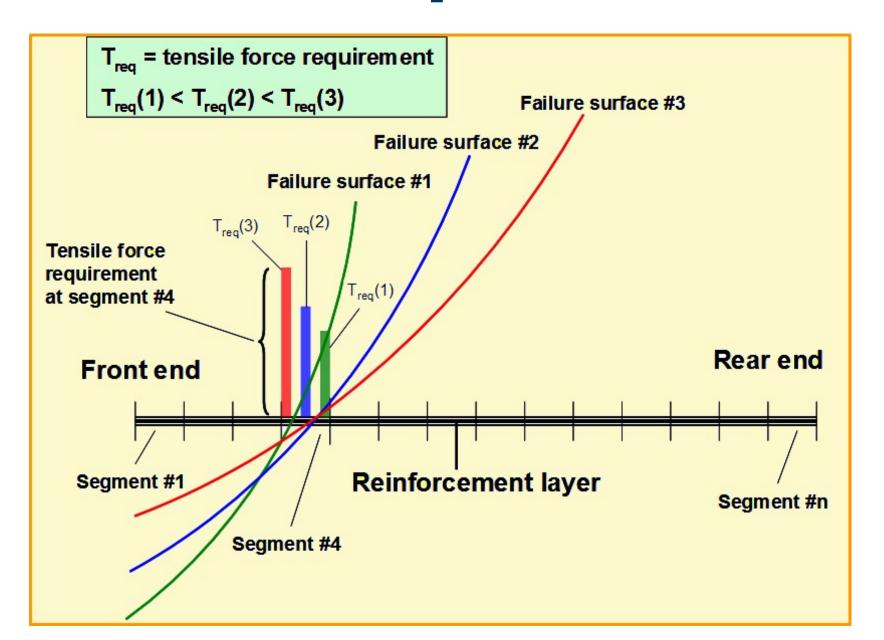
Tension Map: Visualization of $T_{req}(x)$



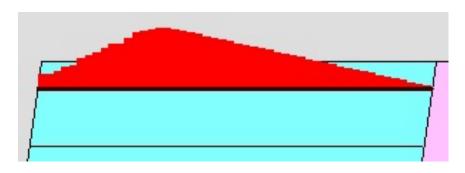
The Framework: Process in Nutshell

- Check numerous test bodies setting SF=1.0 and calculating T_{req}(x) for each layer → Use a systematic topdown process
- For $T_{req}(x)$ distribution, failure along any surface is equally likely \rightarrow $T_{req}(x)$ therefore is termed **Baseline Solution** \rightarrow **Tension Map**
- The tension, $T_{req}(x)$, is limited by pullout at the rear and/or front ends
- T_{req}(x) is the resistance needed locally to yield a structure at a limiting equilibrium state

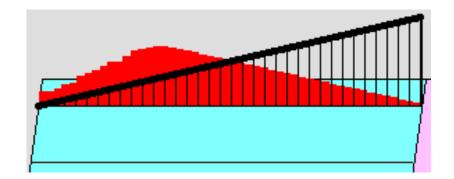
Maximization Update...



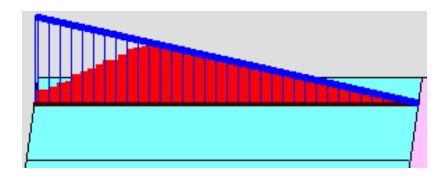
Details: Baseline & Pullout



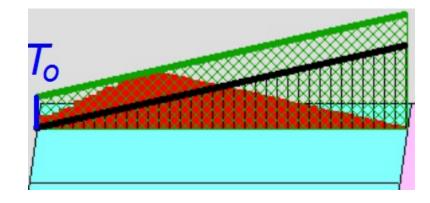
1. $T_{req}(x)$



3. Front pullout... oops



2. Rear pullout constraint



4. Adjust front pullout

→ Upwards shift is T₀

Geosynthetic Reinforced Wall (Alabama, Photo: Feb 2007)



Percolating water → Decrease in $\sigma_{v'}$ → Decrease in front pullout resistance → Geogrid may not mobilize the needed resistance thus relying on added resistance from connections → Connections strength for upper layers exceeded → Failure

Can We Use 'Better' LE Methods for Baseline Solution?

- Yes, we can...
- Recall the term *framework* presented here— it is *not* restricted to a specific method of analysis
- Han and Leshchinsky (2006) used Culmann instructive but has limited use
- Leshchinsky et al. (2014) used log spiral rigorous but not easy to use (also, limited to homogenous problems)
- Leshchinsky et al. (2017) used Bishop not rigorous but practical
- YOU may use rigorous a LE (e.g., Spencer, M-P) with general slip surface. You can even use Limit Analysis of Plasticity...

- Why Limit State analysis is needed?
- Available Limit State Methods of Analysis
- Limit Equilibrium: Global Approach
- The Safety Map Tool
- Limit Equilibrium: Baseline Solution
- Limit Equilibrium: Design Approach
- Limit Equilibrium: Examples
- Concluding Remarks

Advancement of Current Design

- Apply the LE design approach in two stages: Internal Stability and Global Stability
- Stage 1: Internal stability Find T_{req}(x) in all reinforcements Baseline Solution
- Consider geometry, loading conditions, reinforcement layout, pullout resistance, any batter, water, seismicity, etc.
- Stage 2: Global stability consistent with current design → Standard slope stability analysis

Stage 1: Internal Stability

- Find T_{req}(x) including T_{max} & T_o (connection)
- Determine max(T_{max}) to select geosynthetic
- •LTDS= $F_{s-strength} \times max(T_{max})$ where $F_{s-strength} = 1.5$
- $-T_{ult}$ =LTDS \times RF_{cr} \times RF_d \times RF_{id}

Stage 1 is a rational and robust alternative to existing approaches → Ensures that there is no overstressing of reinforcement

Stage 2: Global Stability

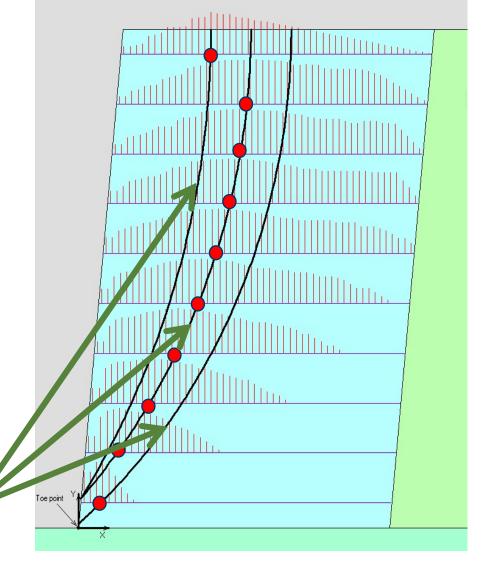
- Select reinforcement and facing followingStage 1
- Conduct global slope stability analysis to ascertain that for the selected facing, layout and strength reinforcement, Fs≥1.30 for all feasible failure geometries
- Increase the length and/or strength of reinforcement, if needed to meet the prescribed on soil strength Fs

Stage 2 Conducts Global Stability Why use then Internal Stability?

- Reinforcement resistance in Global Stability is evenly divided amongst all layers → Results in T_{max} that is smaller than in Internal Stability → Global ignores local demand through 'smearing'

- Global Stability tells us nothing about connection load, T_o

Global Stability: Locus of T_{max} is NOT on a singular surface.



So Why Stage 2 is Important too?

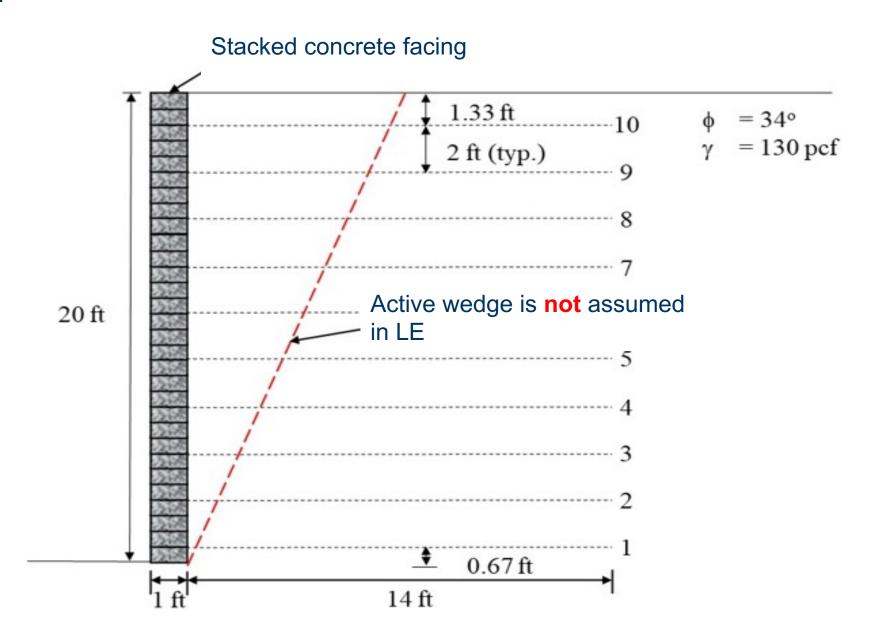






- Why Limit State analysis is needed?
- Available Limit State Methods of Analysis
- Limit Equilibrium: Global Approach
- The Safety Map Tool
- Limit Equilibrium: Baseline Solution
- Limit Equilibrium: Design Approach
- Limit Equilibrium: Examples
- Concluding Remarks

Example Problem



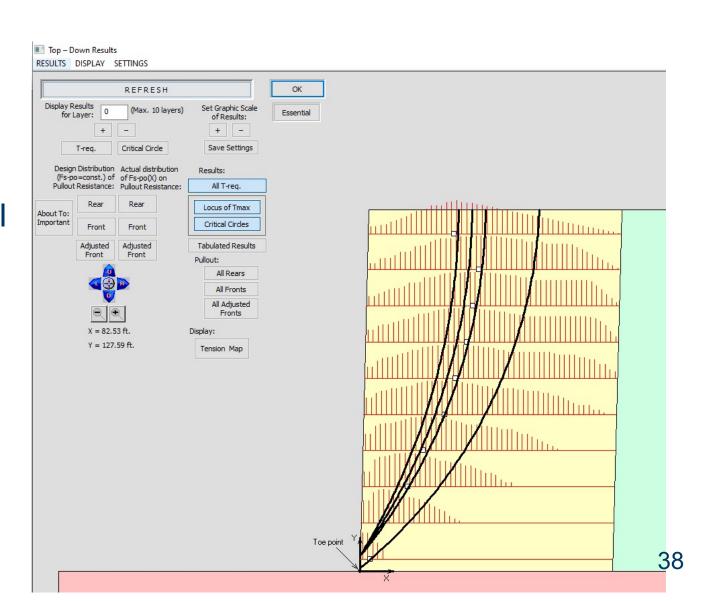
Stage I: Get $T_{req}(x)$ and T_{max}

Objective:

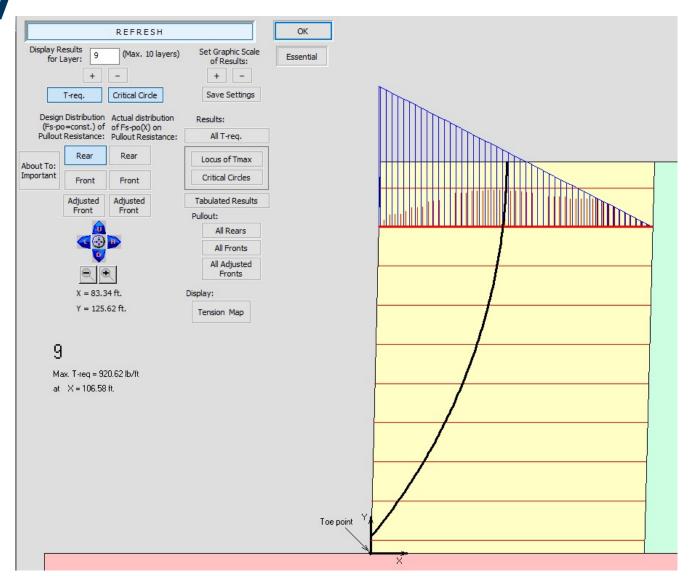
Find $T_{max} \rightarrow T_{ult} = 1.5 LTDS$ = 1.5 RF_{id} RF_d RF_{cr} T_{max}

If reinforcement strength is same as Treq(x), any circle through layers will have the same $Fs=1.0 \rightarrow All$ circles are equally critical \rightarrow Baseline results are rendered to select reinforcement with adequate Tult ensuring sufficient margins of safety

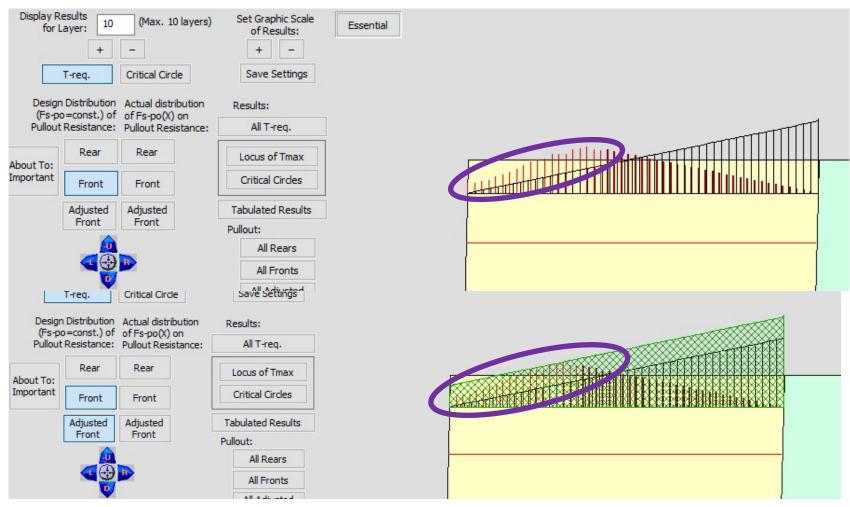
Note: There is NO well-defined Active Wedge as postulated in most simplified designs



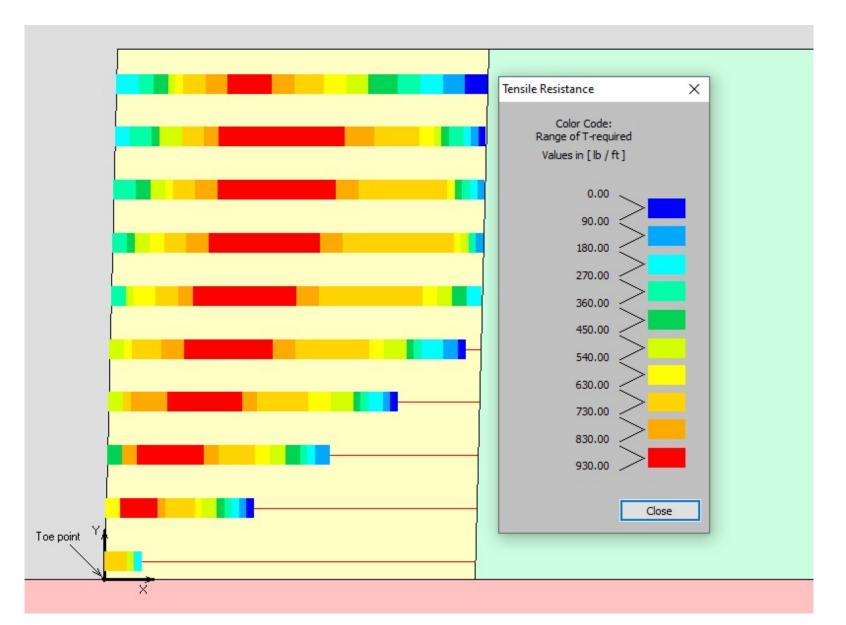
Circle for Layer 9 Determining T_{max}. Note that Treq is limited by Pullout Resistance thus Shedding load to layers below _____



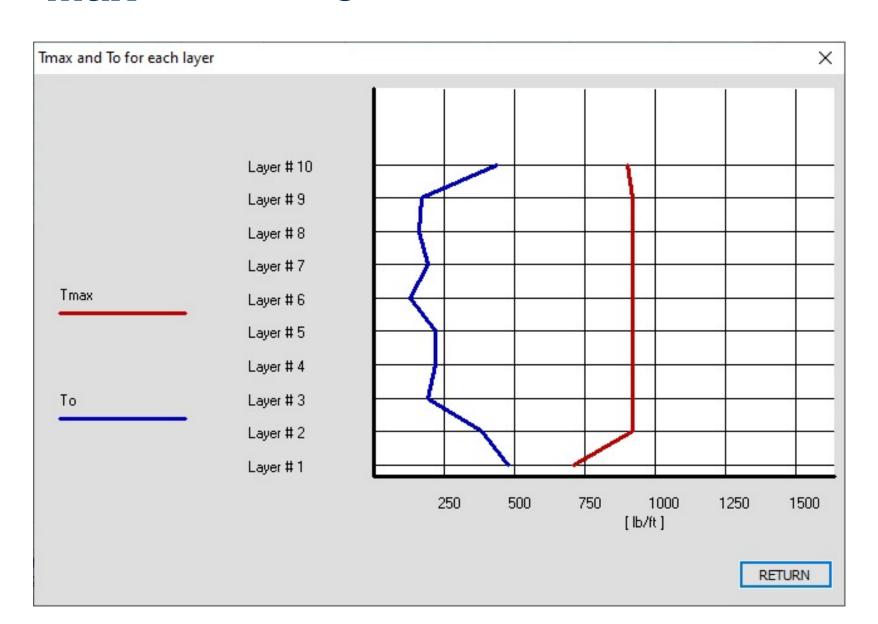
Determining To: For Treq, frontend pullout resistance must be satisfied



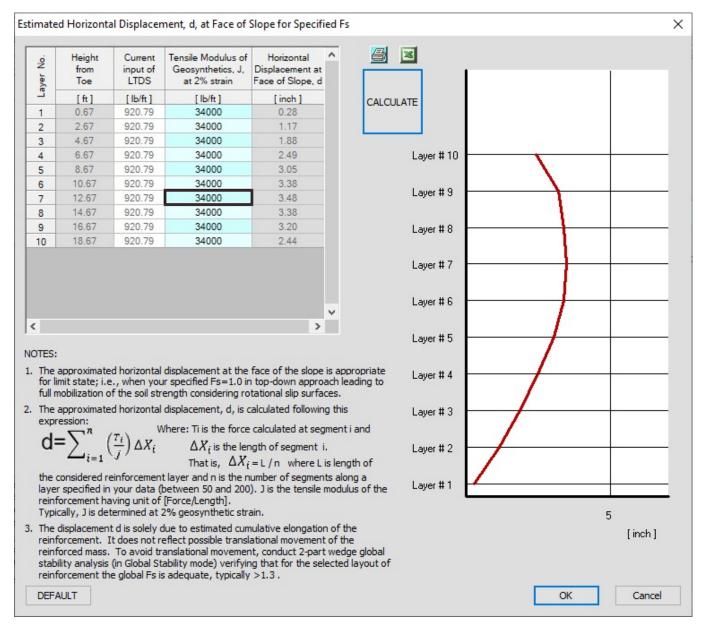
Tension Map: Color Coded Visual of Treq



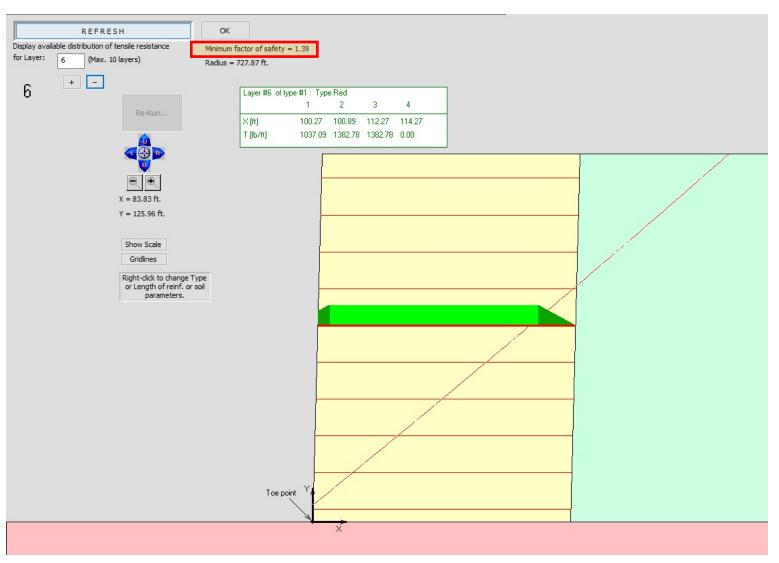
T_{max} and T_o Distributions



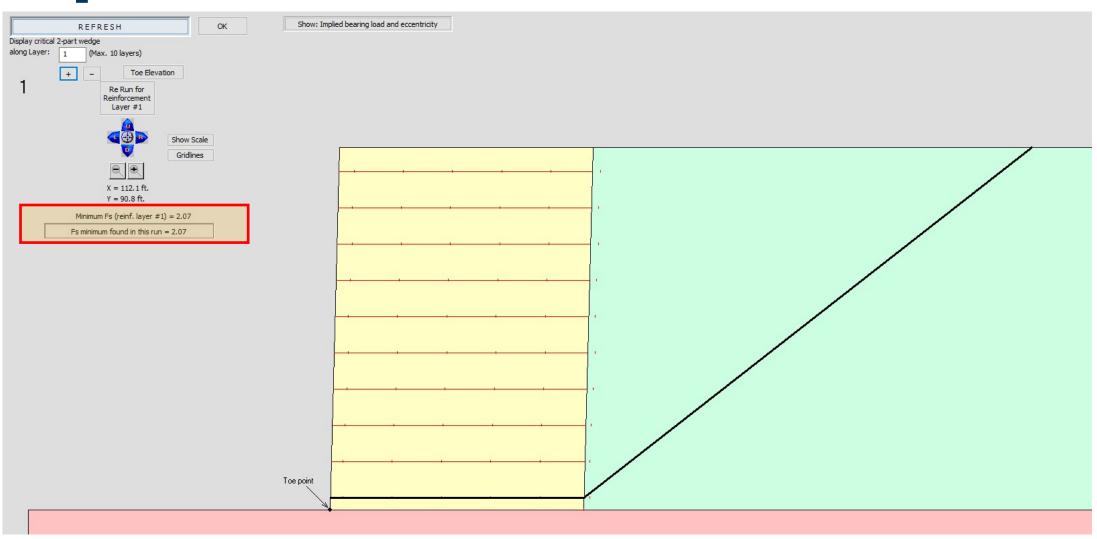
Estimate Horizontal Displacement



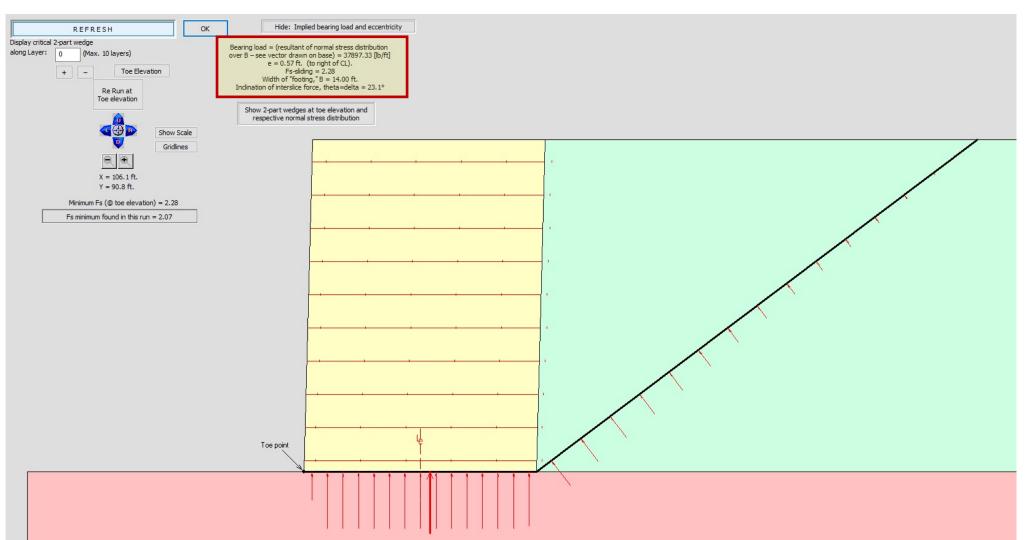
Stage II: T_{ult} =1.5 RF T_{max} = 3020 lb/ft Run Global Stab.: Fs=1.39>1.30 OK



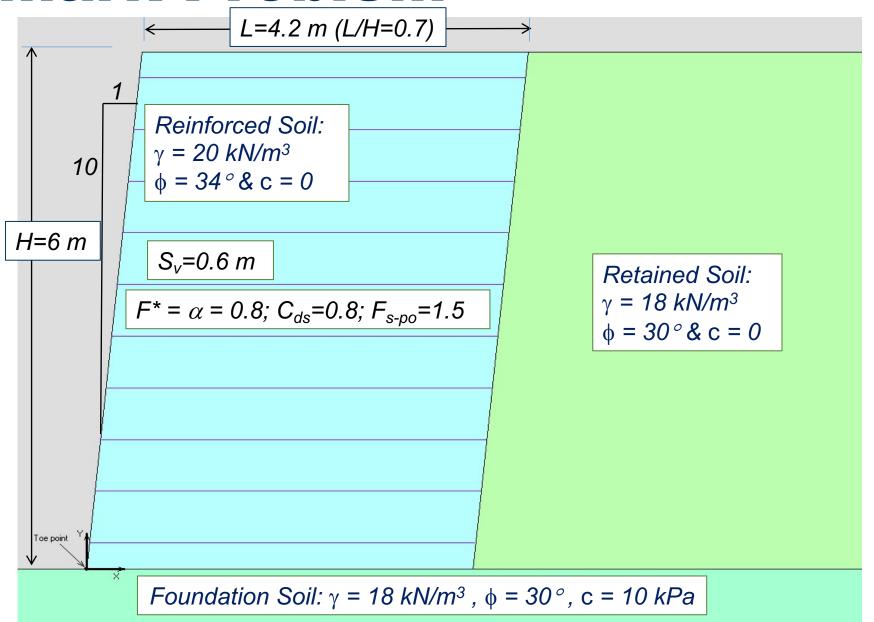
Run 2 Part Wedge Sliding using Spencer – Fs=2.07 OK



Using Spencer: Get Normal Stress → e, R and Meyerhof σ_v =R/(L-2e)



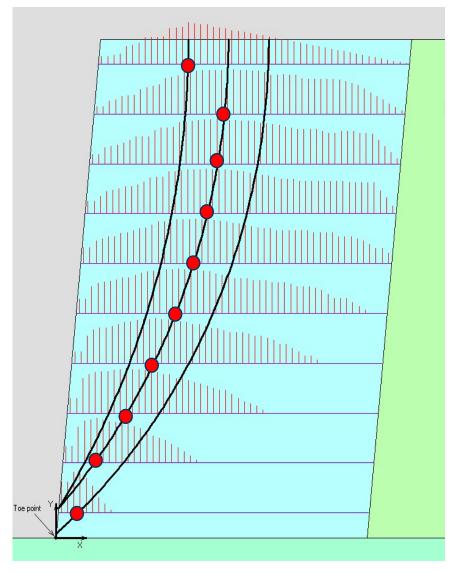
Benchmark Problem



Computing T_{max} in Internal Stability: Critical Circles

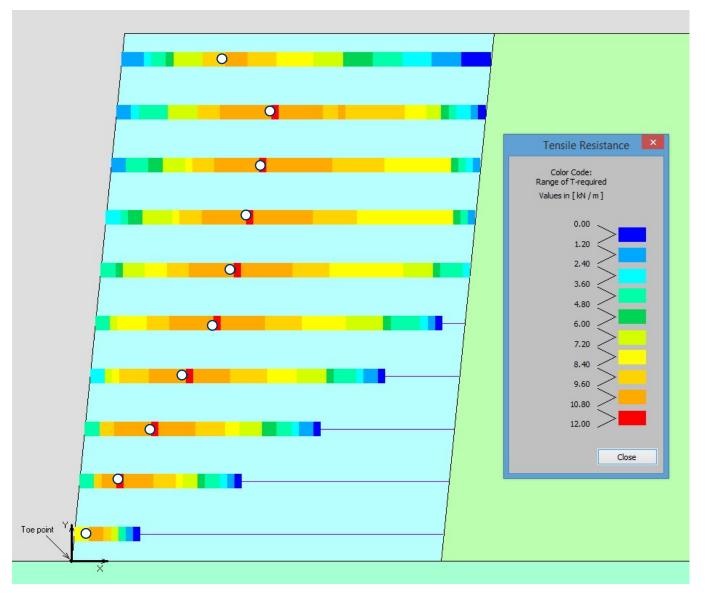
1. Hypothesis in AASHTO: Locus of T_{max} is defined by a singular slip surface. Is it?

2. Well-defined active and resistant zones. Is it?

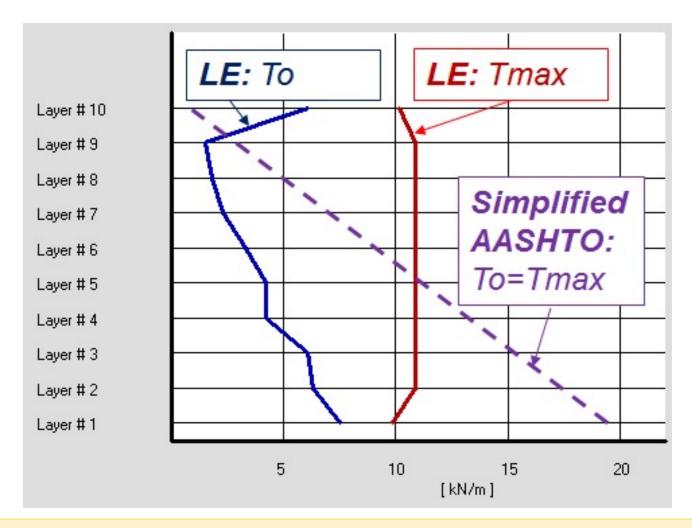


Tension Map

The mobilization of tension in each reinforcement can be visualized through the Tension Map → Note location of



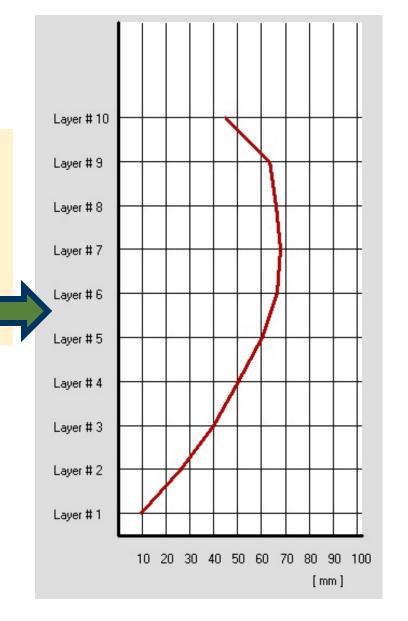
T_{max} and T_o Distribution



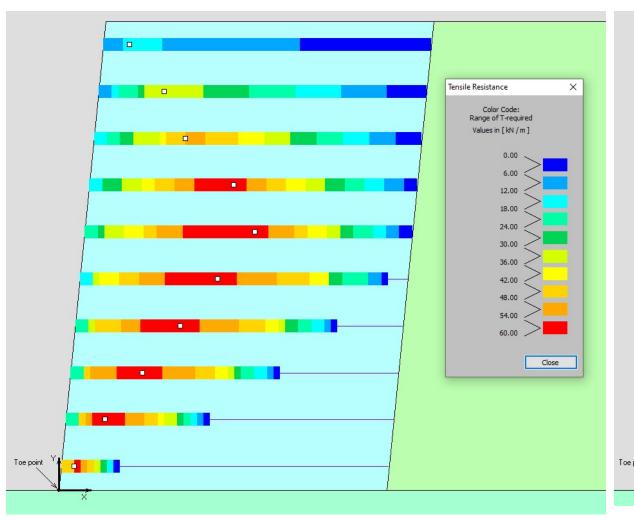
 $max(T_{max})$: LE \rightarrow 10.9 kN/m AASHTO \rightarrow 19.3 kN/m

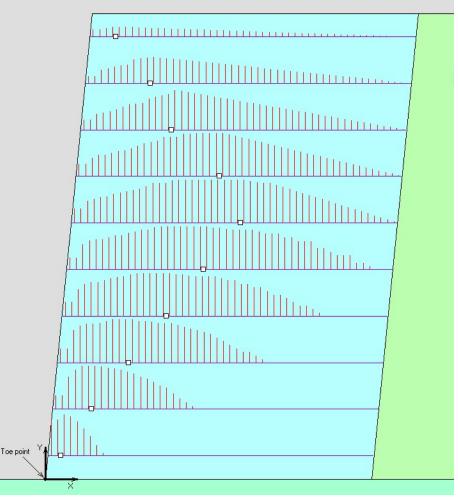
Horizontal Displacement Distribution

T_{req}(x) for Fs=1.0 allows for **Estimation** of the lateral displacement at a limit state e.g., for J=500 kN/m

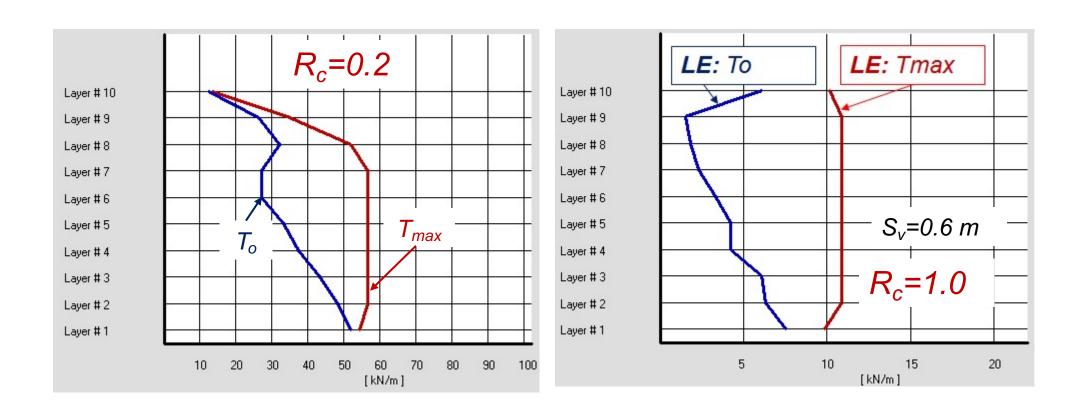


Computed Distribution of $T_{req}(x)$: $R_c=0.2$



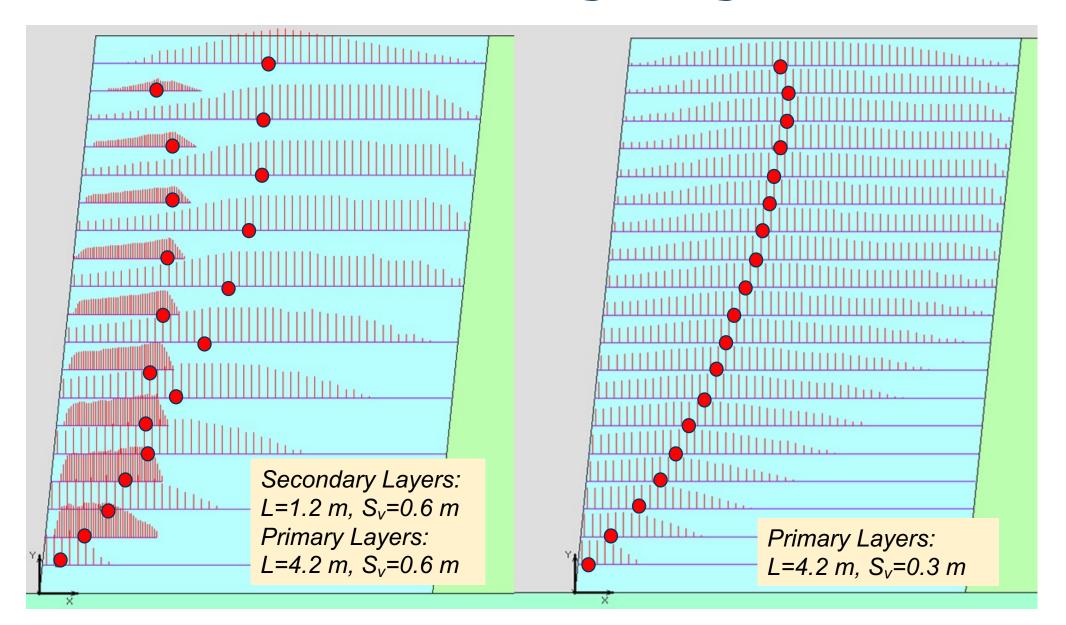


Effects of R_c on T_{max} and T_o

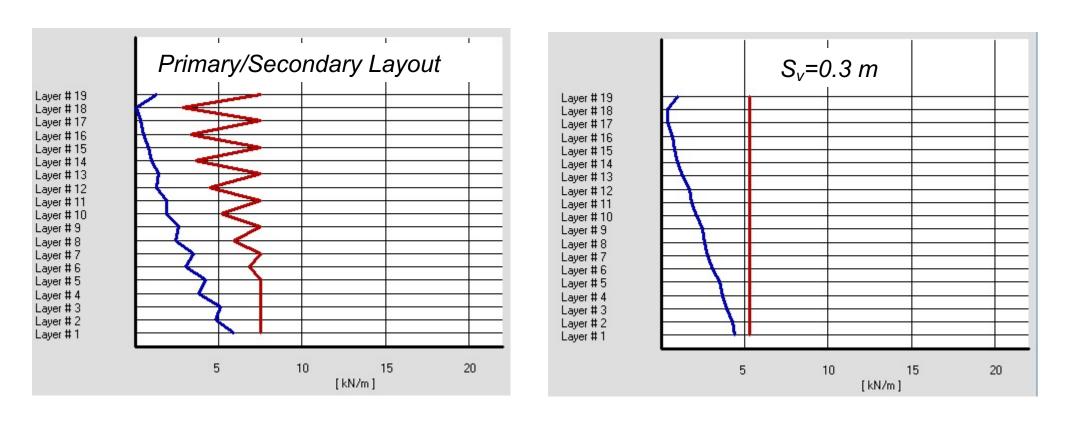


Note the different drawing scale for T_{max} and T_o

Effects of Secondary Layers

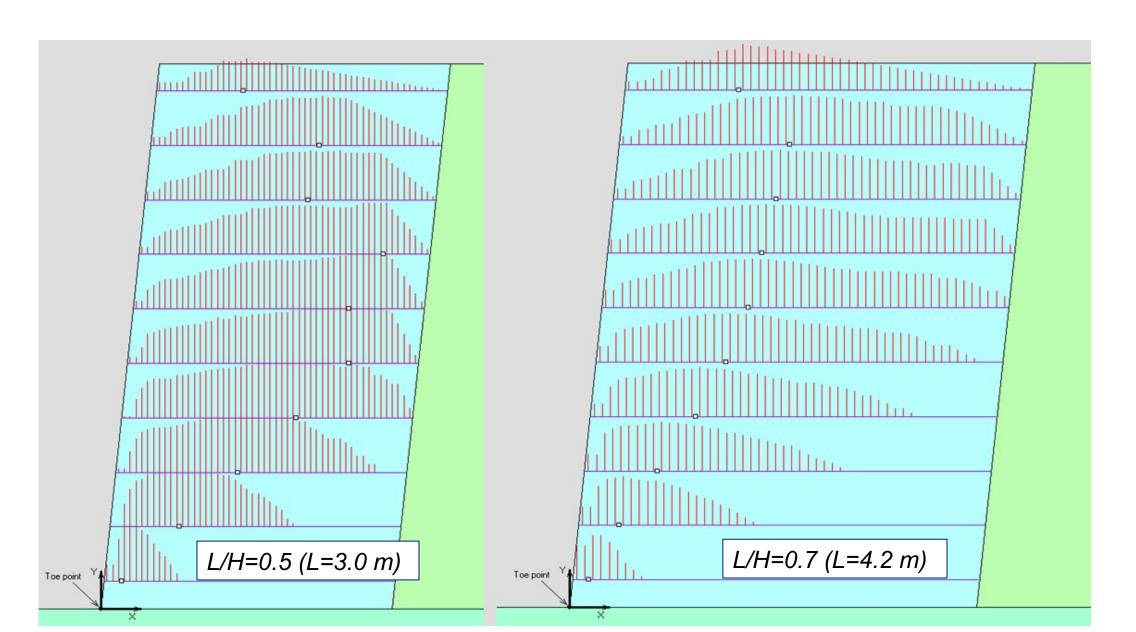


T_{max} and T_{o} : Secondary versus Close Spacing

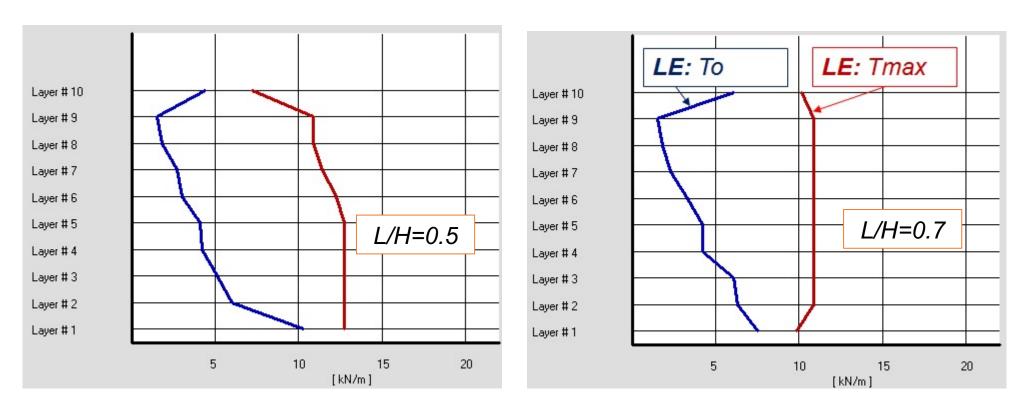


Depending on relative length of secondary reinforcement, it may decrease T_{max} . Generally it has significant effects on T_o (connection loads).

Effects of Shorter Reinforcement

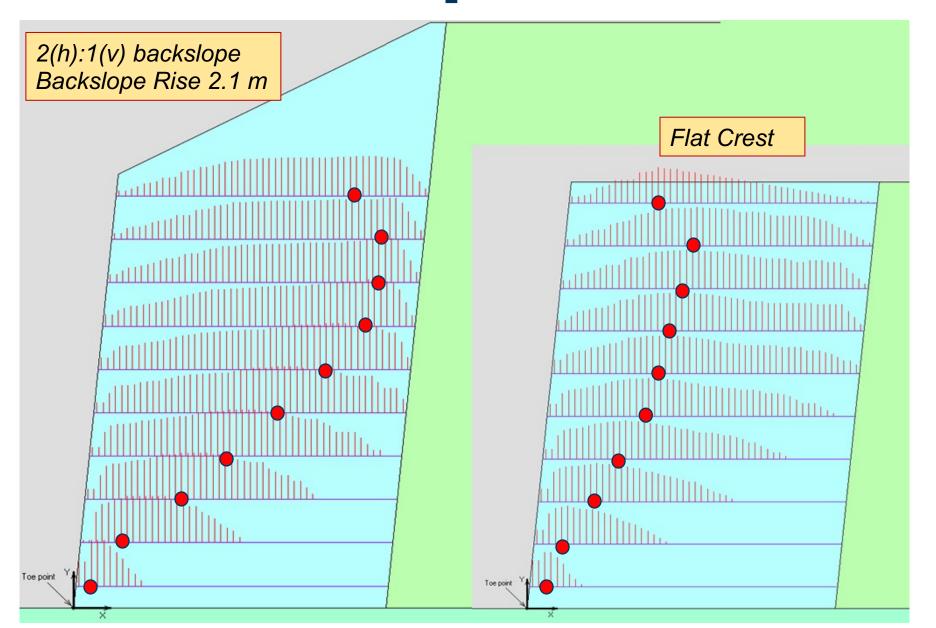


Effects of Shorter Reinforcement: T_{max} and T_o



Generally, lower layers carry higher load due to compound failures \rightarrow Upper layers need to contribute less to produce $Fs=1.0 \rightarrow$ Top layer carries less load thus resulting in smaller T_{max} and T_o

Effects of Backslope

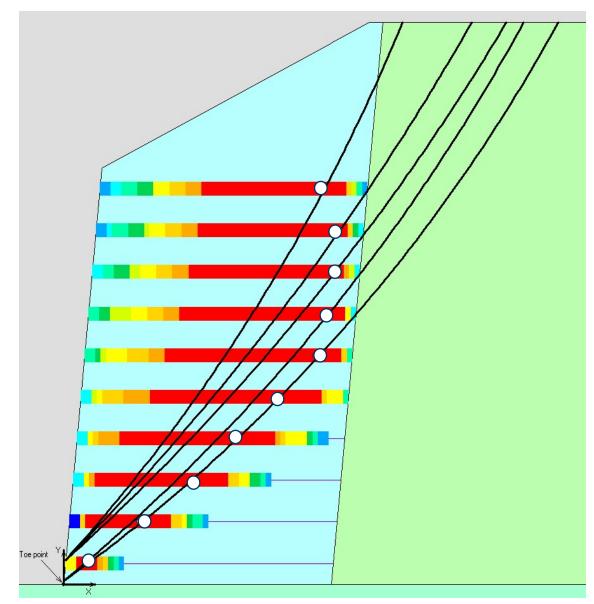


Computing T_{max} in Internal Stability: Critical Circles

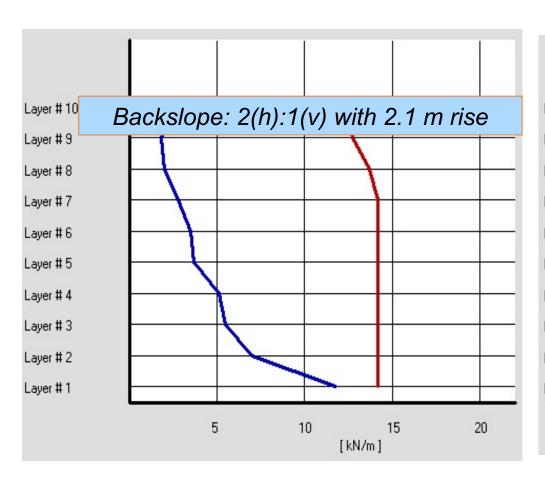
Note:

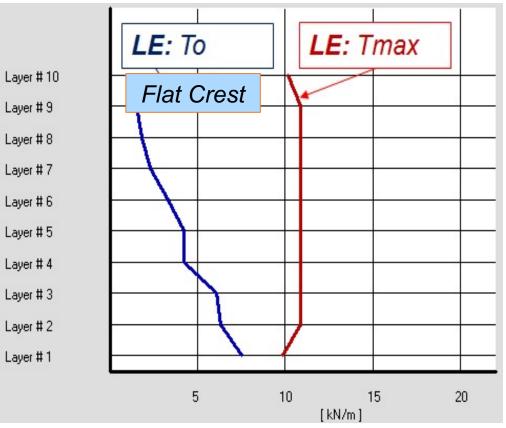
Global Stability
Top 4 layers are not needed for stability.

Baseline Solution,
Stage 1 →
Identifies the need
for these layers!

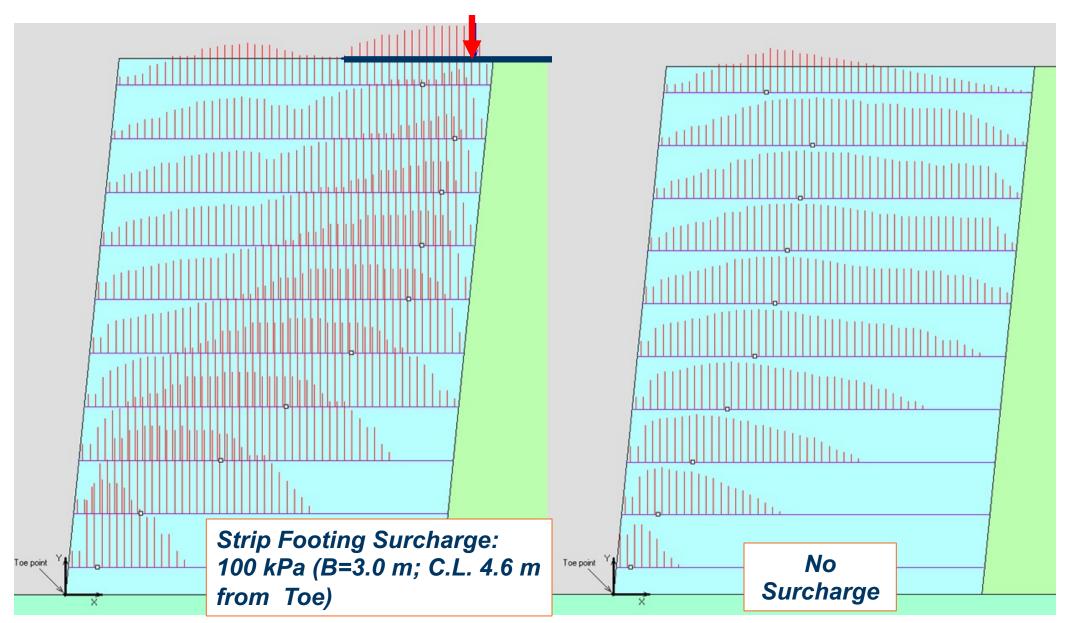


Effects of Backslope: T_{max} and T_o

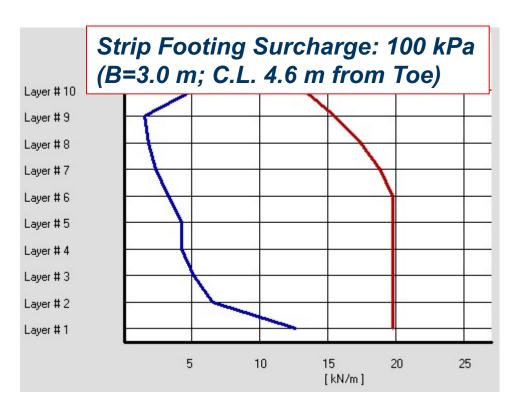


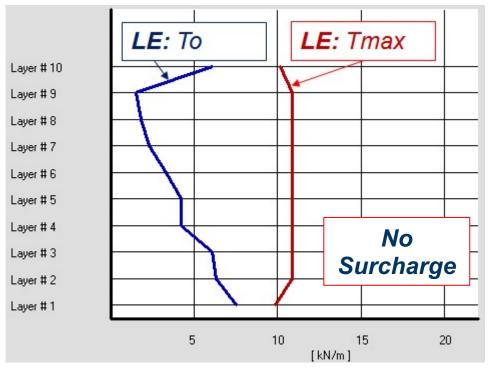


Effects of Surcharge (Dead Load)

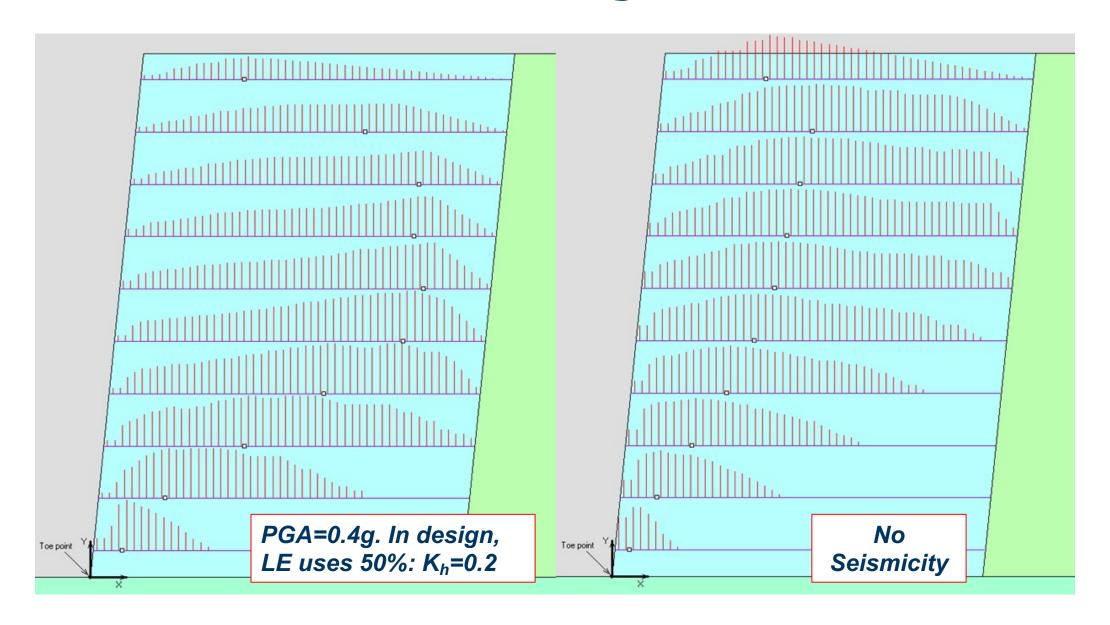


Effects of Surcharge: To and Tmax

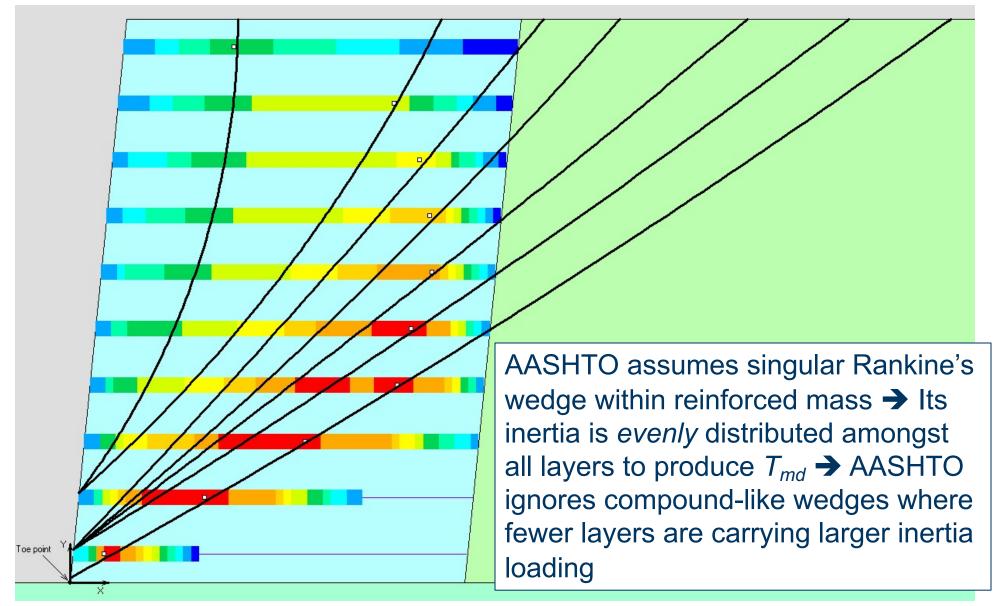




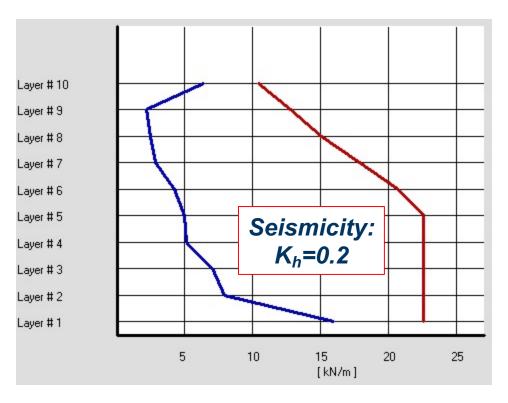
Effects of Seismicity

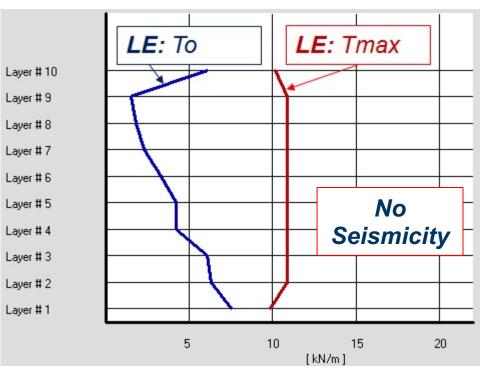


Critical Circles Rendering T_{max}

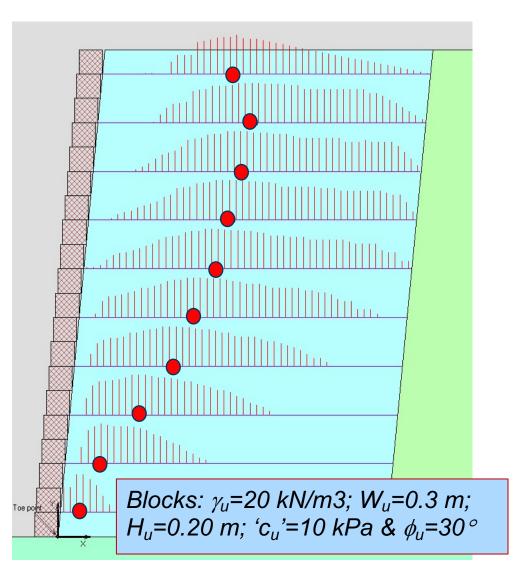


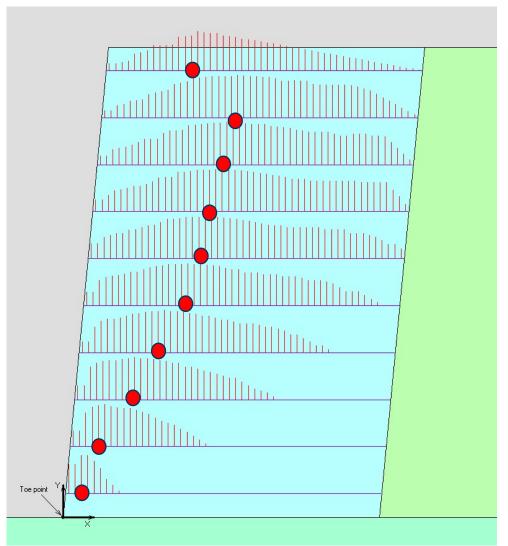
Seismic Effects: T_o and T_{max}





Effects of Facing: Small Blocks





Effects of Small Blocks Facing: T_{max} and T_o

15

[kN/m]

20

10

Layer #3

Layer # 2

Layer #1

Large blocks or high interblock and toe resistance may reduce significantly the need for reinforcement (length and strength) LE: To LE: Tmax Layer #10 Small Blocks Facing Units Layer #10 No Facing Layer #9 Layer #9 Layer #8 Layer #8 Layer #7 Layer #7 Layer #6 Layer #6 Laver #5 Layer #5 Layer # 4

Layer#4

Layer#3

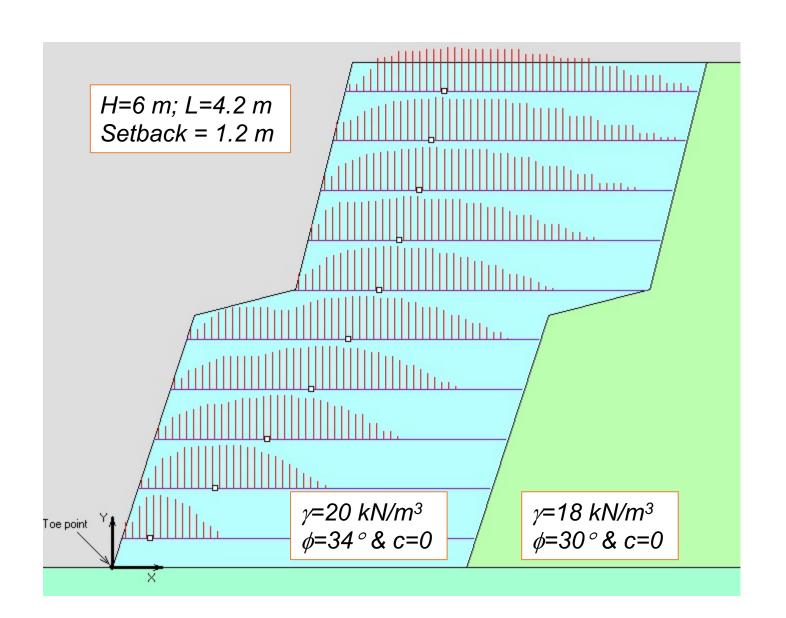
Layer #2

Layer #1

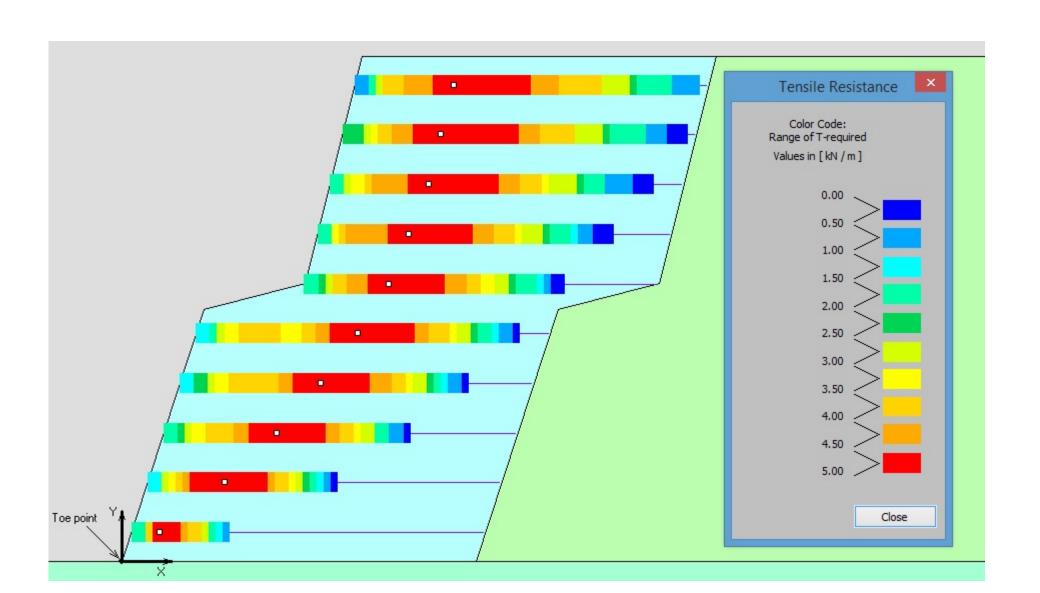
20

[kN/m]

3(v):1(h) Two-Tier Wall



Tension Map: 2-Tier Wall



Roadmap of Presentation

- Why Limit State analysis is needed?
- Available Limit State Methods of Analysis
- Limit Equilibrium: Global Approach
- The Safety Map Tool
- Limit Equilibrium: Baseline Solution
- Limit Equilibrium: Design Approach
- Limit Equilibrium: Examples
- Concluding Remarks

Concluding Remarks

■ Baseline Solution: Fs=1.0 on soil strength is used to determine LTDS, consistent with Internal Stability principles → LRFD can be used, same as in AASHTO

- □ T_{max} and T_o: Global Stability ignores possible local overstressing while the Baseline Solution considers local demand rationally
- ☐ Global LE: Applicable to external stability -- sliding, eccentricity, and bearing load

